

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

GRIES et al.

Group Art Unit: unassigned

Application No. unassigned

Examiner: unassigned

Filed: March 21, 2006

For: PHOTOPOLYMER PRINTING PLATE  
PRECURSOR

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Pursuant to 37 CFR 1.97 and 1.98, the references listed on the enclosed Form PTO-1449 and/or Substitute Form PTO-1449 ("Form 1449") are submitted for consideration by the Examiner in the examination of the above-identified patent application.

The full consideration of the references in their entirety by the Examiner is respectfully requested and encouraged. Also, it is respectfully requested that the references be entered into the record of the present application and that the Examiner place his or her initials in the appropriate area on the enclosed Form 1449, thereby indicating the Examiner's consideration of each of the references.

The submission of the references listed on the Form 1449 is for the purpose of providing a complete record and is not a concession that the references listed thereon are prior art to the invention claimed in the patent application. The right is expressly reserved to establish an invention date earlier than the above-identified filing date in order to remove any reference submitted herewith as prior art should it be deemed appropriate to do so.

Further, the submission of the references is not to be taken as a concession that any reference represents art that is relevant or analogous to the claimed invention. Accordingly, the right to argue that any reference is not properly within the scope of prior art relevant to an examination of the claims in the above-identified application is also expressly reserved.

The Information Disclosure Statement is being filed:

- ☒ within any one of the following time periods: (a) within three months of the filing date of a national application other than a continued prosecution application under 37 CFR 1.53(d); (b) within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 of an international application; (c) before the mailing date

of a first Office Action on the merits; or (d) before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

- ☐ **after** (a), (b), (c) or (d) above, but before the mailing date of a final action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and includes *one* of:

☐ the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below).

*or*

☐ the fee of \$180 set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a final action under 37 CFR 1.113 or a Notice of Allowance under 37 CFR 1.311, or an action that otherwise closes prosecution in the application, and on or before payment of the issue fee, and includes the Statement under 37 CFR 1.97(e) (see "Statement under 37 CFR 1.97(e)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

- ☐ **after** the mailing date of a Notice of Allowance under 37 CFR 1.311, and on or before payment of the issue fee, and **within** thirty days of receiving each item of information contained in the Information Disclosure Statement, and includes the Statement under 37 CFR 1.704(d) (see "Statement under 37 CFR 1.704(d)" below), and the fee of \$180 as set forth in 37 CFR 1.17(p) (see "Fees" below).

NOTE: This is for original applications except applications for a design patent, filed on or after May 29, 2000, wherein a paper containing only an Information Disclosure Statement in compliance with 37 CFR 1.97 and 1.98 is being filed.

#### Copies of the References

- ☐ Copies of all of the references listed on the enclosed Form 1449 are enclosed herewith.

- ☒ This patent application was filed after June 30, 2003. Accordingly, copies of U.S. patents and patent applications that are listed on the accompanying Form 1449 are not enclosed herewith (see Official Gazette Notice of August 5, 2003). Copies of other references identified on the accompanying Form 1449 are enclosed herewith.

- ☒ Attached to each reference not in the English language is a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3). An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of the relevance pursuant to 37 CFR 1.98(a)(3).

- ☒ A copy of the foreign search report is enclosed herewith.

- ☐ The references listed on the enclosed Form 1449 were previously identified in the parent application(s) of the present application, and copies of the references were

furnished at that time. Accordingly, additional copies of the references are not submitted herewith, so as not to burden the file with duplicate copies of references. The Examiner is respectfully requested to carefully review the references in accordance with the requirements set out in the Manual of Patent Examining Procedure. In accordance with 37 CFR 1.98(d), the details of the parent application(s) relied upon for an earlier filing date under 35 USC 120 in which copies of the references were previously furnished are set out below:

U.S. APPLICATIONS		Status ( <i>check one</i> )		
U.S. APPLICATIONS	U.S. FILING DATE	PATENTED	PENDING	ABANDONED
1.				
2.				
3.				

**Statement under 37 CFR 1.97(e)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of the Information Disclosure Statement.
- ☐ The **undersigned** hereby states that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign patent application, and, to the knowledge of the undersigned after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

**Statement under 37 CFR 1.704(d)**

- ☐ The **undersigned** hereby states that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and that this communication was not received by any individual designated in 37 CFR 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.

**Fees**

- ☒ **No fee** is owed by the applicant(s).
- ☐ The **IDS Fee of \$180** under 37 CFR 1.17(p) is enclosed herewith.

In re Appln. of GRIES et al.  
Application No. unassigned

**Method of Payment of Fees**

- ☐ Attached is a check in the amount of \$  
☐ Charge Deposit Account No. 12-1216 in the amount of \$ . (A duplicate copy of this communication is enclosed for that purpose.)

**Authorization to Charge Additional Fees**

- ☒ If any additional fees are owed in connection with this communication, please charge Deposit Account No. 12-1216. (A duplicate copy of this communication is enclosed for that purpose.)

**Instructions as to Overpayment**

- ☒ Credit Account No. 12-1216.  
☐ Refund



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Date: March 21, 2006

Please type a plus sign (+) inside this box → ☐

IAP20 Rec'd PATENT to 1 MAR 2006

Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet	1	of	2	Application Number	Unassigned 1/273233
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**Complete if Known**

Application Number	Unassigned 1/273233
Filing Date	March 21, 2006
First Named Inventor	GRIES, Willi-Kurt
Group Art Unit	Unassigned 1795
Examiner Name	Unassigned Juan Vaughan
Attorney Docket Number	251323

**U.S. PATENT DOCUMENTS**

Examiner Initials	Doc. No.	U.S. Patent Document		Name of Patentee or Applicant	Date of Publication	Filing Date If Appropriate
		Application or Patent Number	Kind Code			
J.V.	AA	3,784,577		Cescon et al.	Jan. 8, 1974	
	AB	4,088,498		Faust	May 9, 1978	
	AC	4,109,524		Smith	Aug. 29, 1978	
	AD	4,250,248		Faust	Feb. 10, 1981	
	AE	4,410,621		Wada et al.	Oct. 18, 1983	
	AF	4,459,349		Tanaka et al.	July 10, 1984	
	AG	4,987,053		Gersdorf et al.	Jan. 22, 1991	
	AH	5,066,564		Zertani et al.	Nov. 19, 1991	
	AI	6,197,476	B1	Konrad et al.	Mar. 6, 2001	
	AJ	6,576,396	B1	Leichsenring et al.	June 10, 2003	
	AK	2002/018962	A1	Urano et al.	Feb. 14, 2002	
	AL	2003/186165	A1	Gries et al.	Oct. 2, 2003	
	AM	2004/131975	A1	Urano et al.	July 8, 2004	


**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Doc. No.	Foreign Patent Document			Name of Patentee or Applicant	Date of Publication	Translation	
		Office	Application or Patent Number	Kind Code			Yes	No**
J.V.	AN	DE	14 70 154		E.I. Du Pont	Jan. 20, 1972		+
	AO	DE	199 15 717	A1	Agfa Gevaert	Oct. 12, 2000		+
	AP	DE	199 33 139	A1	Agfa Gevaert	Jan. 25, 2001		+
	AQ	DE	20 64 079		Kalle AG	July 13, 1972		+
	AR	DE	26 29 833	A1	S & F Associates	Jan. 27, 1977		+
	AS	DE	28 22 190	A1	Hoechst AG	Nov. 22, 1979		+
	AT	DE	3 211 312	A1	Toyo Boseki K.K.	Oct. 21, 1982		+
	AU	EP	0 107 792	A1	Mitsubishi Kasai Corporation	May 9, 1984		
	AV	EP	0 215 453	A2	E.I. Du Pont Nemours Company	March 25, 1987		
	AW	EP	0 024 629	A2	E.I. Du Pont Nemours Company	March 11, 1981		
	AX	EP	0 287 818	A2	Hoechst AG	Oct. 26, 1988		+
	AY	EP	0 316 706	A2	Hoechst AG	May 24, 1989		+
	AZ	EP	0 355 387	A2	Hoechst AG	Feb. 20, 1998		+
	BA	EP	0 738 929	A2	Mitsubishi Chem. Corp.	Oct. 23, 1996		
	BB	EP	0 985 683	A1	Fuji Photo Film Co. Ltd.	March 15, 2005		
	BC	EP	1 035 435	A2	Fuji Photo Film Co. Ltd.	Sept. 13, 2000		
	BD	EP	1 048 982	A1	Fuji Photo Film Co. Ltd.	Oct. 2, 2000		
	BE	EP	1 070 990	A1	Fuji Photo Film Co. Ltd.	Jan. 24, 2001		
	BF	EP	1 091 247	A2	Fuji Photo Film Co. Ltd.	April 11, 2001		
	BG	EP	1 148 387	A1	Mitsubishi Chem. Corp.	Oct. 24, 2001		
	BH	EP	1 280 006	A2	Fuji Photo Film Co. Ltd.	Jan. 29, 2003		
	BI	EP	1 349 006	A1	Agfa Gevaert	Oct. 1, 2003		

Examiner Signature	/Juan Vaughan li/	Date Considered	06/30/2008
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\* A concise statement of relevance is being submitted in lieu of a translation. 37 CFR 1.98(a)(3).

+ An English-language equivalent/patent, or an English-language abstract, or an English-language version of the search report or action by a foreign patent office in a counterpart foreign application indicating the degree of relevance found by the foreign office is being submitted in lieu of a concise explanation of relevance under 37 CFR 1.98(a)(3).

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IAP20 RESCUE J70.710 21 MAR 2006

Substitute for form 1449A/B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2 of 2

**Complete if Known**

Application Number	Unassigned
Filing Date	March 21, 2006
First Named Inventor	GRIES, Willi-Kurt
Group Art Unit	Unassigned
Examiner Name	Unassigned
Attorney Docket Number	251323

**OTHER - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials	Doc. No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number (s), publisher, city and/or country where published.	Translation	
			Yes	No**
/J.V./	B J	International Search Report for PCT/EP2004/051983 (Feb. 15, 2005)		
/J.V./	B K	P. Lugovkin et al.; Doklady Akademii Nauk SSSR, 59; pages 1301-1304 (1948)		+

Examiner Signature /Juan Vaughan li/

Date Considered 06/30/2008

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